

## POLARIZATION-DEPENDENT ACOUSTO-OPTIC EFFICIENCY IN OPTICALLY ACTIVE BIAXIAL $\alpha$ -HIO<sub>3</sub> CRYSTALS

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**Abstract.** The effect of polarization of the incident optical wave on the effective elasto-optic coefficient and the acousto-optic figure of merit in optically active, optically biaxial  $\alpha$ -HIO<sub>3</sub> crystals is analyzed in the present work. It has been revealed that the increase in both parameters occurs when the polarization of the incident optical wave aligns with the polarization of the eigenwaves at the interaction with the quasi-longitudinal acoustic wave. The acousto-optic figure of merit for the case of acousto-optic interaction with quasi-longitudinal AW increases more than two times, reaching almost the same value as the maximal one in the XZ plane of acousto-optic interaction. It has been found that at the fifth and sixth types of acousto-optic interactions with a pure transverse acoustic wave, the acousto-optic diffraction is only possible due to the optical activity and ellipticity of the eigenwaves. In particular, for the sixth interaction type, the acousto-optic figure of merit caused solely by the eigenwaves' ellipticity reaches  $13.4 \times 10^{-15} \text{ s}^3/\text{kg}$ .

**Keywords:** acousto-optic figure of merit, biaxial crystals, optical activity,  $\alpha$ -HIO<sub>3</sub> crystal

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### 1. Introduction

Acousto-optic (AO) Bragg diffraction is the effect of optical wave diffraction on a phase grating of refractive index created by the acoustic wave (AW) through the elasto-optic (EO) effect [1-5]. The many applications of AO diffraction make this effect quite important in optoelectronics. AO diffraction can be used for deflecting optical radiation in scanners by changing the AW frequency, modulating the intensity of the diffracted wave by adjusting the amplitude of the AW, and in AO tunable filters, among other uses.

One of the most important characteristics of AO Bragg diffraction is the AO figure of merit, defining the efficiency of diffraction, i.e., the portion of optical intensity deflected into the diffraction maximum. The diffraction efficiency of AO Bragg diffraction is determined by the relation:

$$\eta = I / I_0 = \sin^2 \left( \frac{\pi}{\lambda \cos \theta_B} \sqrt{M_2 P_a \frac{l}{2b}} \right), \quad (1)$$

where  $I$  and  $I_0$  are the intensities of respectively diffracted and incident light,  $\lambda$  the wavelength of optical radiation,  $\theta_B$  the Bragg angle,  $P_a$  the AW power,  $M_2$  the AO figure of merit,  $l$  the interaction length, and  $b$  the height of the acoustic beam. In its turn, the  $M_2$  factor is determined as

$$M_2 = \frac{n_i^3 n_d^3 p_{eff}^2}{\rho v_{kl}^3}, \quad (2)$$

where  $n_i$  and  $n_d$  denote the refractive indices of respectively incident and diffracted waves,

$p_{eff}$  the effective EO coefficient,  $\rho$  the material density, and  $v_{kl}$  the AW velocity (the indices  $k$  and  $l$  correspond to the directions of propagation and polarization of the AW). Therefore, increasing the AO figure of merit, which naturally reduces the power of AW and energy consumption, can be achieved by enhancing the effective EO coefficient. Although lowering the AW speed can also produce the same effect, it decreases the repetition rate and reduces the response speed of AO devices.

The increase in the effective EO coefficient can be achieved by searching for optimal directions and polarizations of interacting optical and acoustic waves within the optical and acoustic anisotropy (see, e.g., [6,7]). However, in our recent works [8,9], we have shown that optical activity in these materials can significantly enhance their AO figure of merit. This occurs because the ellipticity of interacting optical eigenwaves approaches unity near the optic axis, causing additional EO tensor components to contribute to the effective EO coefficient. This enhancement has been demonstrated in cubic optically active crystals and optically uniaxial crystals [9]. It has been shown that in cubic system crystals, considering the circular polarization of the incident optical wave results in an inflation in the characteristic surface of the AO figure of merit. Conversely, in optically uniaxial crystals, this consideration leads to a peak-like increase of the AO figure of merit when the incident wave propagates along the optical axis, where the eigenwaves become purely circularly polarized [1]. The enhancement of AO efficiency when the polarization ellipticity of the incident optical wave matches that of one of the eigenwaves has been experimentally demonstrated for patratellurite crystals [10]. Meanwhile, optically biaxial crystals may exhibit greater variations of this effect due to symmetry lowering and the presence of additional independent components of the elastic and EO tensors [11]. It is clear that if the optical activity is non-zero along both optical axes, the enhancement of the AO figure of merit will manifest along both directions, thereby expanding the possible propagation directions for the incident optical wave. Therefore, this work focuses on studying how the ellipticity of optical eigenwaves influences the effective EO coefficient and AO figure of merit in optically biaxial crystals, using  $\alpha$ -HIO<sub>3</sub> crystals as an example.

## 2. Method of analysis

In this work, we analyze the anisotropy of effective EO coefficients and AO figure of merit for isotropic AO interaction. The analysis follows the same scheme as in our previous works (see e.g., [6,8]). Specifically, we examine six isotropic types of AO interactions: I - interaction of the optical wave with a larger refractive index with quasi-longitudinal (QL) AW; II - interaction of the optical wave with a smaller refractive index with QL AW; III - interaction of the optical wave with a larger refractive index with quasi-transverse (QT<sub>1</sub>) AW, polarized in the plane of AO interaction; IV - interaction of the optical wave with a smaller refractive index with QT<sub>1</sub> AW; V - interaction of the optical wave with a larger refractive index with pure-transverse (PT<sub>2</sub>) AW, polarized perpendicular to the plane of AO interaction; and VI - interaction of the optical wave with a smaller refractive index with PT<sub>2</sub> AW. The analysis was conducted in the crystallographic plane that coincides with the optical-axes plane of  $\alpha$ -HIO<sub>3</sub> crystals.

The  $\alpha$ -HIO<sub>3</sub>, the stable polymorph of iodic acid, crystallizes in the orthorhombic system with the non-centrosymmetric space group P2<sub>1</sub>2<sub>1</sub>2<sub>1</sub> [12]. The lattice constants are determined as  $a=5.5379$  Å,  $b=5.8878$  Å, and  $c=7.7333$  Å [12]. There are four molecules in a unit cell, and the density is 4630 kg/m<sup>3</sup>. The crystals are negative biaxial ( $n_z \approx n_y > n_x$ ) with

$Z = b$ ,  $Y = -c$ ,  $X = a$ . However, in many works, another correspondence between the crystallographic ( $a$ ,  $b$ ,  $c$ ) and crystal physics ( $X$ ,  $Y$ ,  $Z$ ) coordinate systems is used (see e.g. [13]):  $a$  corresponds to  $n_X$ ,  $b$  to  $n_Y$ , and  $c$  to  $n_Z$ . In this case, according to [14] and using Zelmeyer's equation [14], the refractive indices are equal to  $n_Z = 1.8378$ ,  $n_Y = 1.9604$ , and  $n_X = 1.9865$  at  $\lambda=632.8$  nm. In the negative uniaxial limit  $n_X = n_Y = n_o > n_Z = n_e$ :  $o$  = ordinary,  $e$  = extraordinary. In our case  $n_X=n_1=n_p$ ,  $n_Y=n_2=n_m$  and  $n_Z=n_3=n_g$ . The acute bisectrix is the  $Z$  axis (i.e., [001] direction) and the optic axial angle in the  $XZ$  plane is equal to  $2V = 47^\circ$  at  $\lambda=632$  nm. The crystals exhibit noticeable optical activity and pronounced anisotropy. For example, at a wavelength of 632.8 nm, the components of the gyration tensor were reported to be equal:  $g_{11} \approx -1.0 \times 10^{-4}$ ,  $g_{22} \approx 2.6 \times 10^{-4}$ , and  $g_{33} \approx 3.3 \times 10^{-4}$  [15]. The components of EO tensor are equal to:  $p_{11}=0.406$ ,  $p_{22}=0.343$ ,  $p_{33}=0.334$ ,  $p_{12}=0.277$ ,  $p_{13}=0.304$ ,  $p_{21}=0.279$ ,  $p_{23}=0.305$ ,  $p_{31}=0.503$ ,  $p_{32}=0.310$ ,  $p_{44}=0.27$ ,  $p_{55}=0.2$ ,  $p_{66}=0.09$  [16]. The elastic stiffness tensor components, taken from [13], are as follows:  $C_{11}=57.0$ ,  $C_{12}=6.0$ ,  $C_{13}=14.6$ ,  $C_{21}=6.0$ ,  $C_{22}=42.9$ ,  $C_{23}=11.5$ ,  $C_{31}=14.6$ ,  $C_{32}=11.5$ ,  $C_{33}=30.0$ ,  $C_{44}=20.8$ ,  $C_{55}=16.2$  and  $C_{66}=17.8$  GPa.

The Fresnel equation for optically biaxial crystals can be written as [11]:

$$\begin{aligned} & (X^2 + Y^2 + Z^2)(n_p^2 X^2 + n_m^2 Y^2 + n_g^2 Z^2) \\ & - n_p^2(n_m^2 + n_g^2)X^2 - n_m^2(n_g^2 + n_p^2)Y^2 - n_g^2(n_p^2 + n_m^2)Z^2. \\ & + n_p^2 n_m^2 n_g^2 = 0 \end{aligned} \quad (3)$$

Solutions of this equation are the surfaces of smaller ( $n_s$ ) and larger ( $n_l$ ) refractive indices:

$$n_l = \sqrt{\frac{C + \sqrt{C^2 - 4AD}}{2A}}, \quad (4)$$

$$n_s = \sqrt{\frac{C - \sqrt{C^2 - 4AD}}{2A}}, \quad (5)$$

where

$$\begin{aligned} A &= n_p^2 \sin^2(\theta_{ac} - \theta_B) + N_z^2 \cos^2(\theta_{ac} - \theta_B) \\ C &= n_p^2(n_m^2 + n_g^2) \sin^2(\theta_{ac} - \theta_B) + n_g^2(n_m^2 + n_p^2) \cos^2(\theta_{ac} - \theta_B), \\ D &= n_p^2 n_m^2 n_g^2 \end{aligned} \quad (6)$$

and  $\theta_B = 0.1$  deg is the Bragg angle,  $\theta_{ac}$  - is the angle between the wavevector of the AW and the  $X$ -axis (see Fig. 1). Notice that Eqs. (4,5) determine the refractive indices without accounting for the optical activity. At the accounting of the optical activity, the refractive indices are equal to [17]:

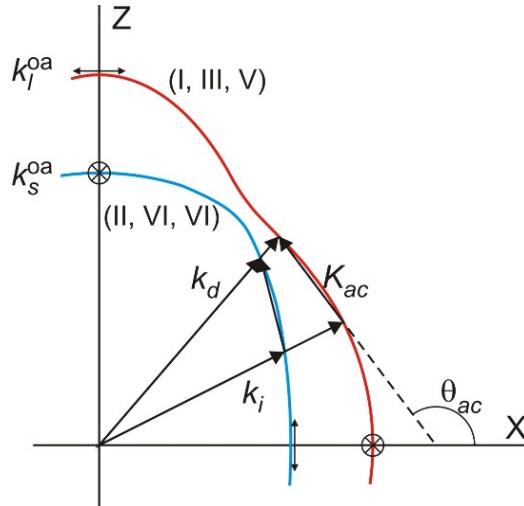
$$n_l^{oa} = n_l + \frac{G}{2\bar{n}} \text{ and } n_s^{oa} = n_s - \frac{G}{2\bar{n}}, \quad (7)$$

where  $\bar{n}$  is the mean value of refractive indices in the direction of light propagation, and the scalar gyration parameter is equal to:

$$G = g_{11} \sin^2(\theta_a - \theta_B) + g_{33} \cos^2(\theta_a - \theta_B). \quad (8)$$

The ellipticities of the eigenwaves and the effective EO coefficients can be obtained similarly to the derivation in Ref. [6]. The ellipticity of the optical eigenwaves is defined as:

$$\chi = \frac{1}{2G} \left( n_l^2 - n_s^{*2} - \sqrt{(n_l^2 - n_s^{*2})^2 + 4G^2} \right) \approx \frac{G}{n_l^2 - n_s^{*2}}, \quad (9)$$



**Fig. 1.** Geometry of isotropic AO interaction for the  $\alpha$ -HIO<sub>3</sub> crystal.  $k_s^{oa} = 2\pi n_s^{oa} / \lambda$ ,  $k_l^{oa} = 2\pi n_l^{oa} / \lambda$ , and  $K_{ac}$  are the wavevectors of optical waves with larger and smaller refractive indices, and AW, respectively;  $k_i$  and  $k_d$  are the wavevectors of the incident and diffracted optical waves, respectively. The Roman numerals indicate the types of AO interaction and their correlation to the wavevector surfaces.

The cross-section of wavevector surfaces of optically biaxial crystals by the plane of the optical axes (i.e., the XZ plane) is not a second-order surface (Fig. 1). Of course, in the simplest case, one can consider the intersection of two surfaces depicted as an ellipse and a circle, with the intersection points representing the outlets of the optical axes. However, these outlets are not crossing points of two second-order surfaces, but rather contact points between two higher-order surfaces. When the optical wavevector crosses the optical axis, the polarization of the eigenwave switches by 90 deg. Therefore, for a specific type of AO interaction, different (orthogonal) polarizations of incident eigenwaves must be considered at incidence angles below and above the optical axes.

The relations for effective EO coefficients in the XZ plane are as follows. For AO interaction with QL AW of the type I, at  $336.5 \text{ deg} < \theta_{ac} < 23.5 \text{ deg}$  and  $156.5 \text{ deg} < \theta_{ac} < 203.5 \text{ deg}$ , the effective EO coefficient is determined by the equation:

$$p_{eff}^{2(I)} = 0.5(p_{21} \cos(\theta_{ac}) \cos(\xi) + p_{23} \sin(\theta_{ac}) \sin(\xi))^2 + \\ + 0.5\chi^2 \left\{ \begin{array}{l} \cos^2(\theta_{ac} - \theta_B) \left\{ (p_{11} \cos(\theta_{ac}) \cos(\xi) + p_{13} \sin(\theta_{ac}) \sin(\xi))^2 \right. \right. \\ \left. \left. + p_{55}^2 \sin^2(\xi + \theta_{ac}) \right\} \\ \sin^2(\theta_{ac} - \theta_B) \left\{ (p_{31} \cos(\theta_{ac}) \cos(\xi) + p_{33} \sin(\theta_{ac}) \sin(\xi))^2 \right. \\ \left. + p_{55}^2 \sin^2(\xi + \theta_{ac}) \right\} \\ - \sin(2(\theta_{ac} - \theta_B)) p_{55} \sin(\xi + \theta_{ac}) \left\{ (p_{11} + p_{31}) \cos(\theta_{ac}) \cos(\xi) \right. \\ \left. + (p_{13} + p_{33}) \sin(\theta_{ac}) \sin(\xi) \right\} \end{array} \right\}, \quad (10)$$

and at  $23.5 \text{ deg} < \theta_{ac} < 156.5 \text{ deg}$  and  $203.5 \text{ deg} < \theta_{ac} < 336.5 \text{ deg}$ :

$$\begin{aligned}
p_{eff}^{2(I)} = & 0.5\chi^2(p_{21}\cos(\theta_{ac})\cos(\xi) + p_{23}\sin(\theta_{ac})\sin(\xi))^2 + \\
& + 0.5\cos^2(\theta_{ac} - \theta_B) \left\{ \begin{aligned} & (p_{11}\cos(\theta_{ac})\cos(\xi) + p_{13}\sin(\theta_{ac})\sin(\xi))^2 \\ & + p_{55}^2 \sin^2(\xi + \theta_{ac}) \end{aligned} \right\} \\
& + 0.5\sin^2(\theta_{ac} - \theta_B) \left\{ \begin{aligned} & (p_{31}\cos(\theta_{ac})\cos(\xi) + p_{33}\sin(\theta_{ac})\sin(\xi))^2 \\ & + p_{55}^2 \sin^2(\xi + \theta_{ac}) \end{aligned} \right\} \\
& - 0.5\sin(2(\theta_{ac} - \theta_B))p_{55}\sin(\xi + \theta_{ac}) \left\{ \begin{aligned} & (p_{11} + p_{31})\cos(\theta_{ac})\cos(\xi) + \\ & (p_{13} + p_{33})\sin(\theta_{ac})\sin(\xi) \end{aligned} \right\} . \quad (11)
\end{aligned}$$

The effective EO coefficient for type II of AO interaction with QL AW at  $336.5 \text{ deg} < \theta_{ac} < 23.5 \text{ deg}$  and  $156.5 \text{ deg} < \theta_{ac} < 203.5 \text{ deg}$  is written as:

$$\begin{aligned}
p_{eff}^{2(II)} = & 0.5\chi^2(p_{21}\cos(\theta_{ac})\cos(\xi) + p_{23}\sin(\theta_{ac})\sin(\xi))^2 + \\
& + 0.5\cos^2(\theta_{ac} - \theta_B) \left\{ \begin{aligned} & (p_{11}\cos(\theta_{ac})\cos(\xi) + p_{13}\sin(\theta_{ac})\sin(\xi))^2 \\ & + p_{55}^2 \sin^2(\xi + \theta_{ac}) \end{aligned} \right\} \\
& + 0.5\sin^2(\theta_{ac} - \theta_B) \left\{ \begin{aligned} & (p_{31}\cos(\theta_{ac})\cos(\xi) + p_{33}\sin(\theta_{ac})\sin(\xi))^2 \\ & + p_{55}^2 \sin^2(\xi + \theta_{ac}) \end{aligned} \right\} , \quad (12) \\
& - 0.5\sin(2(\theta_{ac} - \theta_B))p_{55}\sin(\xi + \theta_{ac}) \left\{ \begin{aligned} & (p_{11} + p_{31})\cos(\theta_{ac})\cos(\xi) \\ & + (p_{13} + p_{33})\sin(\theta_{ac})\sin(\xi) \end{aligned} \right\}
\end{aligned}$$

and at  $23.5 \text{ deg} < \theta_{ac} < 156.5 \text{ deg}$  and  $203.5 \text{ deg} < \theta_{ac} < 336.5 \text{ deg}$ :

$$\begin{aligned}
p_{eff}^{2(II)} = & 0.5(p_{21}\cos(\theta_{ac})\cos(\xi) + p_{23}\sin(\theta_{ac})\sin(\xi))^2 + \\
& + 0.5\chi^2 \left\{ \begin{aligned} & \cos^2(\theta_{ac} - \theta_B) \left\{ \begin{aligned} & (p_{11}\cos(\theta_{ac})\cos(\xi) + p_{13}\sin(\theta_{ac})\sin(\xi))^2 \\ & + p_{55}^2 \sin^2(\xi + \theta_{ac}) \end{aligned} \right\} \\
& \sin^2(\theta_{ac} - \theta_B) \left\{ \begin{aligned} & (p_{31}\cos(\theta_{ac})\cos(\xi) + p_{33}\sin(\theta_{ac})\sin(\xi))^2 \\ & + p_{55}^2 \sin^2(\xi + \theta_{ac}) \end{aligned} \right\} \\
& - \sin(2(\theta_{ac} - \theta_B))p_{55}\sin(\xi + \theta_{ac}) \left\{ \begin{aligned} & (p_{11} + p_{31})\cos(\theta_{ac})\cos(\xi) \\ & + (p_{13} + p_{33})\sin(\theta_{ac})\sin(\xi) \end{aligned} \right\} \end{aligned} \right\} . \quad (13)
\end{aligned}$$

For the case of the AO interaction with the QT<sub>1</sub> AW, the relations for effective EO coefficients in the XZ plane for the type III at  $336.5 \text{ deg} < \theta_{ac} < 23.5 \text{ deg}$  and  $156.5 \text{ deg} < \theta_{ac} < 203.5 \text{ deg}$  are as follows:

$$\begin{aligned}
p_{eff}^{2(III)} = & 0.5(p_{23}\sin(\theta_{ac})\cos(\xi) - p_{21}\cos(\theta_{ac})\sin(\xi))^2 + \\
& + 0.5\chi^2 \left\{ \begin{aligned} & \cos^2(\theta_{ac} - \theta_B) \left\{ \begin{aligned} & (p_{13}\sin(\theta_{ac})\cos(\xi) - p_{11}\cos(\theta_{ac})\sin(\xi))^2 \\ & + p_{55}^2 \cos^2(\xi + \theta_{ac}) \end{aligned} \right\} \\
& \sin^2(\theta_{ac} - \theta_B) \left\{ \begin{aligned} & (p_{33}\sin(\theta_{ac})\cos(\xi) - p_{31}\cos(\theta_{ac})\sin(\xi))^2 \\ & + p_{55}^2 \cos^2(\xi + \theta_{ac}) \end{aligned} \right\} \\
& - \sin(2(\theta_{ac} - \theta_B))p_{55}\cos(\xi + \theta_{ac}) \left\{ \begin{aligned} & (p_{11} - p_{31})\cos(\theta_{ac})\sin(\xi) \\ & + (p_{13} + p_{33})\sin(\theta_{ac})\cos(\xi) \end{aligned} \right\} \end{aligned} \right\} , \quad (14)
\end{aligned}$$

and at  $23.5 \text{ deg} < \theta_{ac} < 156.5 \text{ deg}$  and  $203.5 \text{ deg} < \theta_{ac} < 336.5 \text{ deg}$ :

$$\begin{aligned}
 p_{eff}^{2(III)} = & 0.5\chi^2 (p_{23}\sin(\theta_{ac})\cos(\xi) - p_{21}\cos(\theta_{ac})\sin(\xi))^2 + \\
 & + 0.5\cos^2(\theta_{ac} - \theta_B) \left\{ (p_{13}\sin(\theta_{ac})\cos(\xi) - p_{11}\cos(\theta_{ac})\sin(\xi))^2 \right. \\
 & \left. + p_{55}^2 \cos^2(\xi + \theta_{ac}) \right\} \\
 & + 0.5\sin^2(\theta_{ac} - \theta_B) \left\{ (p_{33}\sin(\theta_{ac})\cos(\xi) - p_{31}\cos(\theta_{ac})\sin(\xi))^2 \right. \\
 & \left. + p_{55}^2 \cos^2(\xi + \theta_{ac}) \right\} \\
 & - 0.5\sin(2(\theta_{ac} - \theta_B))p_{55}\cos(\xi + \theta_{ac}) \left\{ (p_{31} - p_{11})\cos(\theta_{ac})\sin(\xi) \right. \\
 & \left. + (p_{13} + p_{33})\sin(\theta_{ac})\cos(\xi) \right\}
 \end{aligned} \quad (15)$$

The effective EO coefficient for type IV of AO interaction with QT<sub>1</sub> AW is at 336.5 deg <  $\theta_{ac}$  < 23.5 deg and 156.5 deg <  $\theta_{ac}$  < 203.5 deg is determined as:

$$\begin{aligned}
 p_{eff}^{2(IV)} = & 0.5\chi^2 (p_{23}\sin(\theta_{ac})\cos(\xi) - p_{21}\cos(\theta_{ac})\sin(\xi))^2 + \\
 & + 0.5\cos^2(\theta_{ac} - \theta_B) \left\{ (p_{13}\sin(\theta_{ac})\cos(\xi) - p_{11}\cos(\theta_{ac})\sin(\xi))^2 \right. \\
 & \left. + p_{55}^2 \cos^2(\xi + \theta_{ac}) \right\} \\
 & + 0.5\sin^2(\theta_{ac} - \theta_B) \left\{ (p_{33}\sin(\theta_{ac})\cos(\xi) - p_{31}\cos(\theta_{ac})\sin(\xi))^2 \right. \\
 & \left. + p_{55}^2 \cos^2(\xi + \theta_{ac}) \right\} \\
 & - 0.5\sin(2(\theta_{ac} - \theta_B))p_{55}\cos(\xi + \theta_{ac}) \left\{ (p_{31} - p_{11})\cos(\theta_{ac})\sin(\xi) + \right. \\
 & \left. (p_{13} + p_{33})\sin(\theta_{ac})\cos(\xi) \right\}
 \end{aligned} \quad (16)$$

and at 23.5 deg <  $\theta_{ac}$  < 156.5 deg and 203.5 deg <  $\theta_{ac}$  < 336.5 deg :

$$\begin{aligned}
 p_{eff}^{2(IV)} = & 0.5(p_{23}\sin(\theta_{ac})\cos(\xi) - p_{21}\cos(\theta_{ac})\sin(\xi))^2 + \\
 & + \cos^2(\theta_{ac} - \theta_B) \left\{ (p_{13}\sin(\theta_{ac})\cos(\xi) - p_{11}\cos(\theta_{ac})\sin(\xi))^2 \right. \\
 & \left. + p_{55}^2 \cos^2(\xi + \theta_{ac}) \right\} \\
 & + 0.5\chi^2 \left\{ \begin{aligned}
 & + \sin^2(\theta_{ac} - \theta_B) \left\{ (p_{33}\sin(\theta_{ac})\cos(\xi) - p_{31}\cos(\theta_{ac})\sin(\xi))^2 \right. \\
 & \left. + p_{55}^2 \cos^2(\xi + \theta_{ac}) \right\} \\
 & - \sin(2(\theta_{ac} - \theta_B))p_{55}\cos(\xi + \theta_{ac}) \left\{ (p_{31} - p_{11})\cos(\theta_{ac})\sin(\xi) \right. \\
 & \left. + (p_{13} + p_{33})\sin(\theta_{ac})\cos(\xi) \right\}
 \end{aligned} \right\}.
 \end{aligned} \quad (17)$$

The relations for the effective EO coefficient for type V of AO interaction with PT<sub>2</sub> AW at 336.5 deg <  $\theta_{ac}$  < 23.5 deg and 156.5 deg <  $\theta_{ac}$  < 203.5 deg are written as:

$$p_{eff}^{2(V)} = 0.5\chi^2 \left\{ \begin{aligned}
 & \sin^2(\theta_{ac} - \theta_B)p_{44}^2 \sin^2(\theta_{ac}) \\
 & + \cos^2(\theta_{ac} - \theta_B)p_{66}^2 \cos^2(\theta_{ac}) \\
 & - 0.25\sin 2(\theta_{ac} - \theta_B)\sin 2(\theta_{ac})p_{66}p_{44}
 \end{aligned} \right\}, \quad (18)$$

and at 23.5 deg <  $\theta_{ac}$  < 156.5 deg and 203.5 deg <  $\theta_{ac}$  < 336.5 deg :

$$p_{eff}^{2(V)} = 0.5\chi^2 \{ p_{66}^2 \cos^2(\theta_{ac}) + p_{44}^2 \sin^2(\theta_{ac}) \}, \quad (19)$$

The relations for effective EO coefficients for type VI of AO interaction with PT<sub>2</sub> AW at 336.5 deg <  $\theta_{ac}$  < 23.5 deg and 156.5 deg <  $\theta_{ac}$  < 203.5 deg are as follows:

$$p_{eff}^{2(VI)} = 0.5\chi^2 \{ p_{66}^2 \cos^2(\theta_{ac}) + p_{44}^2 \sin^2(\theta_{ac}) \}, \quad (20)$$

and at  $23.5 \text{ deg} < \theta_{ac} < 156.5 \text{ deg}$  and  $203.5 \text{ deg} < \theta_{ac} < 336.5 \text{ deg}$ :

$$p_{eff}^{2(VI)} = 0.5\chi^2 \left\{ \begin{array}{l} \sin^2(\theta_{ac} - \theta_B) p_{44}^2 \sin^2(\theta_{ac}) + \cos^2(\theta_{ac} - \theta_B) p_{66}^2 \cos^2(\theta_{ac}) \\ - 0.25 \sin 2(\theta_{ac} - \theta_B) \sin 2(\theta_{ac}) p_{66} p_{44} \end{array} \right\}. \quad (21)$$

In these formulas, the angle between  $X$  axis and the displacement vector is determined as:

$$\xi(\theta_{ac}) = 0.5 \operatorname{atan} \left( \frac{\sin 2\theta_{ac} (C_{13} + C_{55})}{\cos^2 \theta_{ac} (C_{11} - C_{55}) + \sin^2 \theta_{ac} (C_{55} - C_{33})} \right). \quad (22)$$

The dependence of the QL AW velocity on angle  $\theta_{ac}$  can be written as:

$$v_{11} = \sqrt{\frac{C_{11} \cos^2 \theta_{ac} + C_{33} \sin^2 \theta_{ac} + C_{55}}{2\rho}} \cdot \sqrt{\frac{\{(C_{11} - C_{55}) \cos^2 \theta_{ac} + (C_{55} - C_{33}) \sin^2 \theta_{ac}\}^2 + (C_{13} + C_{55}) \sin^2 2\theta_{ac}}{2\rho}}. \quad (23)$$

The analogical relations for QT<sub>1</sub> and PT<sub>2</sub> AW are as follows:

$$v_{13} = \sqrt{\frac{C_{11} \cos^2 \theta_{ac} + C_{33} \sin^2 \theta_{ac} + C_{55}}{2\rho}} \cdot \sqrt{\frac{\{(C_{11} - C_{55}) \cos^2 \theta_{ac} + (C_{55} - C_{33}) \sin^2 \theta_{ac}\}^2 + (C_{13} + C_{55}) \sin^2 2\theta_{ac}}{2\rho}}, \quad (24)$$

and

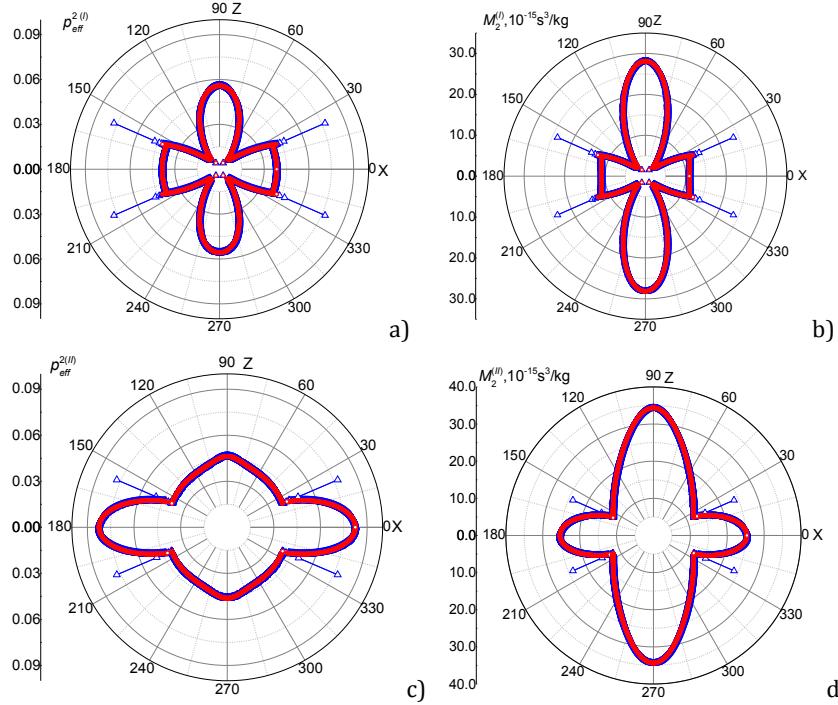
$$v_{12} = \sqrt{\frac{C_{66} \cos^2 \theta_{ac} + C_{44} \sin^2 \theta_{ac}}{\rho}}, \quad (25)$$

respectively.

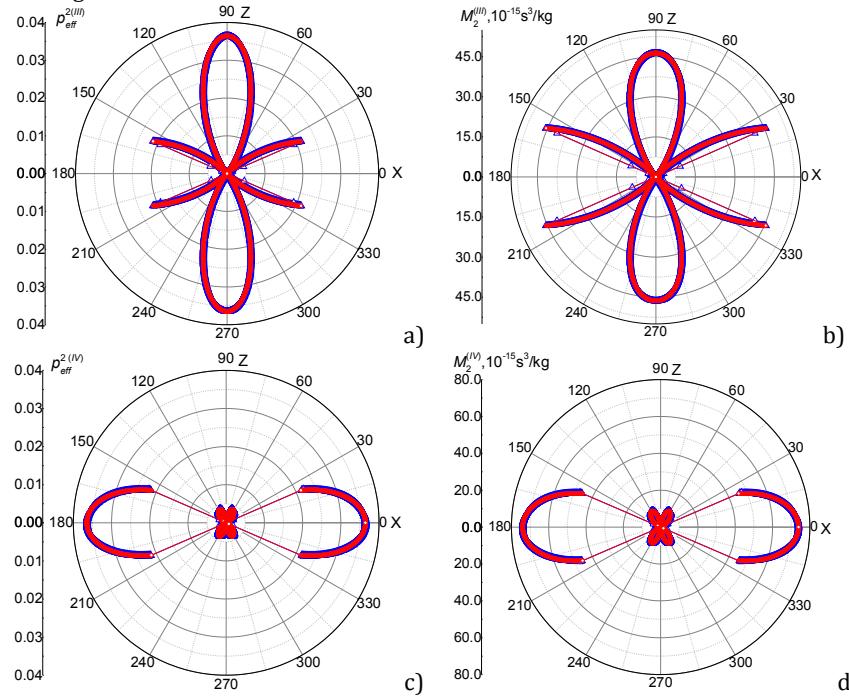
### 3. Results and discussion

The dependencies of the effective EO coefficients and AO figure of merit on the propagation direction of the QL AW for the first type of AO interaction are shown in Fig. 2a,b. It is clear that, in both cases, considering the ellipticity of the eigen optical waves caused by optical activity results in higher EO coefficients and a greater AO figure of merit when the incident optical wave propagates near the optical axes. The AO figure of merit increases from  $10.8 \times 10^{-15} \text{ s}^3/\text{kg}$ , the value typical for a linearly polarized wave, to  $23.7 \times 10^{-15} \text{ s}^3/\text{kg}$ , characteristic of a circularly polarized wave, due to the incident wave's polarization as the eigenwave. Nevertheless, the highest AO figure of merit achieved is slightly higher, at  $28.1 \times 10^{-15} \text{ s}^3/\text{kg}$ , which occurs at  $\theta_{ac}=90$  and  $270$  deg.

For the second type of AO interaction, the dependencies of the effective EO coefficient and AO figure of merit are shown in Fig. 2 c,d. As seen, considering the circular polarization of the incident optical wave that matches the polarization of the eigenwave – under the condition of propagation along the optical axes – results in an increase in the AO figure of merit from  $11.9 \times 10^{-15} \text{ s}^3/\text{kg}$  to  $23.8 \times 10^{-15} \text{ s}^3/\text{kg}$ . However, accounting for optical activity does not exceed the maximum value of the AO figure of merit without considering optical activity ( $34.3 \times 10^{-15} \text{ s}^3/\text{kg}$ ), which occurs at  $\theta_{ac}=90$  and  $270$  deg.

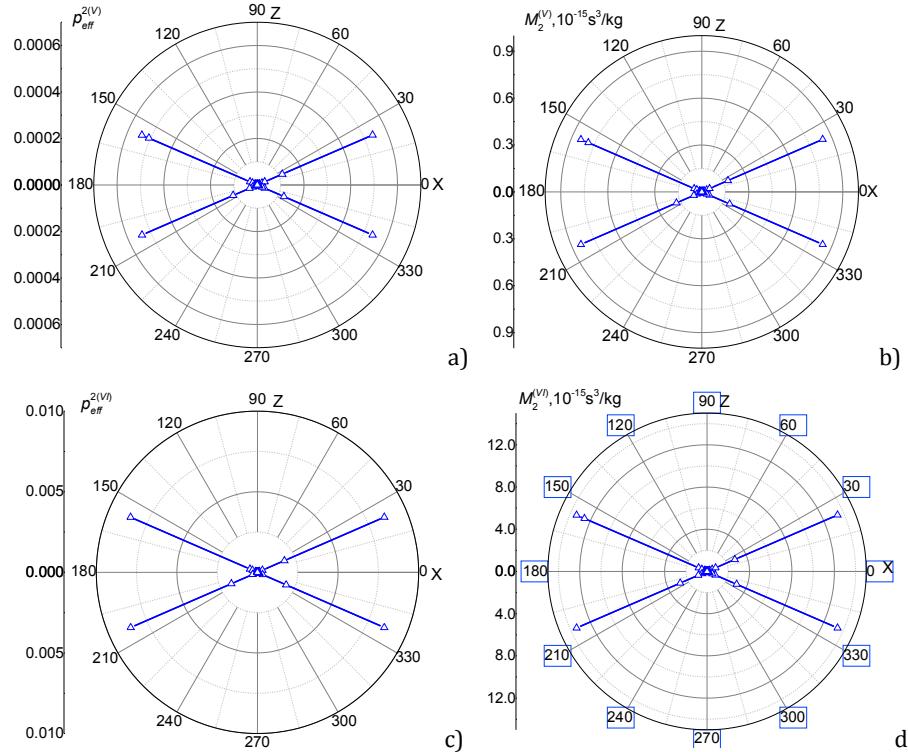


**Fig. 2.** Dependencies of effective EO coefficients (a, c) and AO figures of merit (b, d) on the angle  $\theta_{ac}$  for the first (a, b) and second (c, d) types of AO interaction. Red circles represent the condition of neglecting the ellipticity of the eigenwaves, while blue triangles indicate the condition of considering optical activity and the ellipticity of the eigenwaves.



**Fig. 3.** Dependencies of effective EO coefficients (a, c) and AO figures of merit (b, d) on the angle  $\theta_{ac}$  for the third (a, b) and fourth (c, d) types of AO interaction. Red circles represent the condition of neglecting the ellipticity of the eigenwaves, while blue triangles indicate the condition of considering optical activity and the ellipticity of the eigenwaves.

At the third and fourth types of AO interaction, the accounting of optical activity does not affect the values of the effective EO coefficient and the AO figure of merit. This may be due to the minimal influence of the term related to ellipticity in Eqs. (14-17) on the effective EO coefficient. Nonetheless, in the directions of propagation of the incident optical wave where the ellipticity of the eigenwaves is negligibly small, the AO figure of merit reaches quite high values. For example, at the third type of AO interaction at  $\theta_{ac} = 90$  and 270 deg, and when the linearly polarized incident optical wave propagates along the optical axes, the AO figure of merit is  $46.3 \times 10^{-15} \text{ s}^3/\text{kg}$  and  $45.6 \times 10^{-15} \text{ s}^3/\text{kg}$ , respectively. For the fourth type of interaction, the maximum value of the AO figure of merit is  $74.0 \times 10^{-15} \text{ s}^3/\text{kg}$  at  $\theta_{ac} = 90$  and 180 deg, while at the propagation of the incident optical wave along the optical axis with and without accounting for the optical activity, the AO figure of merit is equal  $46.4 \times 10^{-15} \text{ s}^3/\text{kg}$ .



**Fig. 4.** Dependencies of effective EO coefficients (a, c) and AO figures of merit (b, d) on the angle  $\theta_{ac}$  for the fifth (a, b) and sixth (c, d) types of AO interaction.

The dependencies of the effective EO coefficient and AO figures of merit for the fifth and sixth types of AO interactions are shown in Fig. 4. As seen in (Eqs. (18-21)), AO interactions with purely transverse waves polarized along the  $Y$  direction are forbidden without considering the ellipticity of the optical eigenwaves. Therefore, AO diffraction in these cases occurs only due to optical activity. Additionally, when a circularly polarized incident wave propagates along the optical axes, the AO figure of merit becomes quite high. Specifically, for the sixth type of AO interaction, the AO figure of merit reaches as high as  $13.4 \times 10^{-15} \text{ s}^3/\text{kg}$  when the circularly polarized wave propagates along the optical axes (Fig. 4 c,d). Under the same conditions, the AO figure of merit is only  $0.8 \times 10^{-15} \text{ s}^3/\text{kg}$  for the fifth type of AO interaction (Fig. 4a,b).

**Table 1.** Maximum values of the AO figure of merit with and without accounting for optical activity and polarization of the incident wave.

Type of AO interaction	I	II	III	IV	V	VI
AO figure of merit with accounting for optical activity, $10^{-15} \text{ s}^3/\text{kg}$	23.7	23.8	45.6	46.4	0.8	13.4
AO figure of merit without accounting for optical activity, $10^{-15} \text{ s}^3/\text{kg}$	10.8	11.9	45.6	46.4	-	-
Principal maximum value of the AO figure of merit for the interaction of linearly polarized optical waves, $10^{-15} \text{ s}^3/\text{kg}$	28.1	34.3	46.3	74.0	-	-

The Table 1 presents the OA figure of merit for different types of AO interaction for comparison.

#### 4. Conclusions

In this work, we have analyzed how the polarization of the incident optical wave affects the effective EO coefficient and the AO figure of merit in optically active, optically biaxial  $\alpha$ -HIO<sub>3</sub> crystals. We find that both parameters increase when the polarization of the incident optical wave aligns with the polarization of the eigenwaves at the interaction with QL AW, when the incident optical wave propagates along one of the optical axes. At the first type of AO interaction, the AO figure of merit increases from  $10.8 \times 10^{-15} \text{ s}^3/\text{kg}$ , the value typical for a linearly polarized wave, to  $23.7 \times 10^{-15} \text{ s}^3/\text{kg}$ , characteristic of a circularly polarized wave, due to the incident wave's polarization as the eigenwave and propagates along the optical axis. The increase in AO figure of merit for these conditions leads to almost equality of this value with the maximal value of figure of merit observed in XZ interaction plane for incident linearly polarized optical wave, i.e.,  $28.1 \times 10^{-15} \text{ s}^3/\text{kg}$ , which occurs at  $\theta_{ac}=90$  and 270 deg. At the third and fourth types of AO interaction with QT<sub>2</sub> AW, the effect of optical activity on the AO figure of merit is negligibly small. However, the AO figure of merit for the fourth type of interaction reaches its maximum value within the XZ plane, i.e.,  $74.0 \times 10^{-15} \text{ s}^3/\text{kg}$ . The most intriguing phenomenon occurs at the fifth and sixth types of AO interactions with PT<sub>2</sub> AW. Under these conditions, AO diffraction is only possible due to the optical activity and ellipticity of the eigenwaves. In particular, for the sixth interaction type, the AO figure of merit caused solely by the eigenwaves' ellipticity reaches  $13.4 \times 10^{-15} \text{ s}^3/\text{kg}$ .

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**Conflict of interest.** Authors declare no conflict of interest.

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**Анотація.** У цій роботі проаналізовано вплив поляризації вхідної оптичної хвилі на ефективний пружнооптичний коефіцієнт та коефіцієнт акустооптичної якості в оптично активних, оптично двовісних кристалах  $\alpha$ - $\text{HIO}_3$ . Виявлено, що при взаємодії з квазіпоздовжньою акустичною хвилею відбувається збільшення обох параметрів, у випадку коли поляризація вхідної оптичної хвилі збігається з поляризацією власних хвиль. При акустооптичній взаємодії з квазіпоздовжньою акустичною хвилею коефіцієнт акустооптичної якості зростає більш ніж удвічі, досягаючи майже такого ж значення, як максимальне значення цього коефіцієнта в площині акустооптичної взаємодії XZ. Було виявлено, що при п'ятому та шостому типах акустооптичної взаємодії з чистою поперечною акустичною хвилею акустооптична дифракція можлива лише завдяки оптичній активності та еліптичності власних хвиль. Зокрема, для шостого типу взаємодії коефіцієнт акустооптичної якості, зумовлений виключно еліптичністю власних хвиль, досягає  $13,4 \times 10^{-15} \text{ c}^3/\text{kg}$ .

**Ключові слова:** коефіцієнт акустооптичної якості, двовісні кристали, оптична активність, кристал  $\alpha$ - $\text{HIO}_3$